

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FEE RECORD SHEET

08/27/2001 ABOONE1 00000006 09934803 01 FC:101 710.00 OP

> PTO-1556 (5/87)



CONTINUING PATENT APPLICATION TRANSMITTAL

(for Continuing Applications
under 37 C.F.R. §1.53(b))

Attorney Docket No. 71711

First Named Inventor or Application Identifier: Michael Heiberger

Box PATENT APPLICATION Commissioner for Patents Washington, D.C. 20231

Sir:

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This is a request under 37 C.F.R. §1.53(b) for filing a:

- () Continuation application,
- (X) Divisional application,
- Continuation-in-Part application,

)	CERTIFICATE OF MAILING BY "EXPRESS MAIL"		
)	"Express Mail" Mailing Label Number	/93°	
,	EL 307231877US	20	-
)	Date of Deposit August 22, 2001	Ţ.	
)	I hereby certify that this paper or fee	is being	
)	deposited with the United States Postal "Express Mail Post Office to Addressee"		
)	under 37 CFR §1.10 on the date indicated a	bove and	
)	is addressed to Box Patent Appl		
í	Commissioner for Patents, Washington, DC	20231.	
í	Pat Finnerty		
1	(Typed or printed name of person mailing)) 	
)	\ 1al Tungert	1	
1	(Signature of person mailing)	7	
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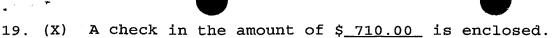
of pending prior application number <u>09/559,240</u>, filed on <u>April 26, 2000</u> of <u>Michael Heiberger et al.</u> for HOMOPOLAR MACHINE WITH SHAFT AXIAL THRUST COMPENSATION FOR REDUCED THRUST BEARING WEAR AND NOISE.

- (X) Enclosed is a patent application (for continuation, divisional, or continuation-in-part applications) containing:
 - (X) 18 pages of the specification (including claims).
 - (X) 10 sheets of drawings () Formal (X) Informal.
- 2. (X) A copy of the executed oath or declaration filed in the prior nonprovisional application is enclosed.
- 3. () Inventorship:
 - A newly-executed oath or declaration and power of attorney is enclosed (for continuation-in-part applications, or for continuation or divisional applications naming an inventor not named in the prior application) (§1.63(a), (d)(5) and (e)).
 - () Because this application is being filed by fewer than all of the inventors named in the prior application, delete the following inventor(s) named in the prior nonprovisional application (37 C.F.R. §1.63(d)(1)(2)):

		() The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted oath or declaration and power of attorney (§1.41(a) and §1.53(b)).	
4.	()	Assignment(s) of the invention to, and cover sheet are enclosed.	
		() A check in the amount of \$ to cover the fee for recording the assignment(s) is enclosed.	
5.	(X)	The prior application is assigned of record to General Atomics	
6.	()	Small Entity Status (37 C.F.R. §1.28(a)(2)):	
		() A statement of status as a small entity is enclosed.	
		() A statement of status as a small entity was filed in the prior application, and small entity status is still proper and desired in this new nonprovisional application.	
		() Status as a small entity is no longer claimed.	
The fact that it is the fact that	()	A 37 C.F.R. §3.73(b) statement is enclosed (where an assignee seeks to take action in a matter before the Patent Office).	
8	()	A preliminary amendment is enclosed.	
9	(X)	Drawings:	
oberett unter, swettet soottes gesten, useen, useen		() Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file. (May be used only if signed by person authorized by §z.138 and before payment of base issue fee.)	
		() New formal drawings are enclosed.	
		(X) Informal drawings are enclosed.	
10.	(X)	A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed.	
11.	(X)	An Information Disclosure Statement is enclosed.	
		(X) A Form PTO-1449 is enclosed.	
		() References (copies) listed on the Form PTO-1449 are enclosed.	
12.	()	A MicroFiche Computer Program (Appendix) is enclosed.	

Continuing Utility/Design §1.53(b)-2-1000

13.	(X)	A Return Receipt Postcard is enclosed (MPEP §503).
14.	()	A Nucleotide and/or Amino Acid Sequence Submission is enclosed.
		() A Computer Readable Copy is enclosed.
		() A Paper Copy (Identical to Computer Copy) is enclosed.
		() A Statement Verifying Identity of above Copies is enclosed.
15.	(X)	Priority of application number 09/559,240 filed on April 26, 2000 in the United States is claimed under 35 U.S.C. §120.
		() The certified copy of the priority document has been filed in prior application number, filed
		() A certified copy of the priority document is enclosed.
16.	(X)	Power of Attorney:
	(X)	The power of attorney in the prior application is to:
ger jerne greek jerne je ger jerne ger jerne greek jerne greek k. B. B. Jerne greek jerne greek jerne greek je B. B. Jerne greek jerne gr		() Reg. No, FITCH, EVEN, TABIN, & FLANNERY Suite 1600 120 South LaSalle Street Chicago, Illinois 60603-3406 and other members of the firm.
		(X) Customer Number 22242.
erren erren 1.echt 1.echt Pault II R Thair Thair Tailt Thirt	(X)	The power appears in the original papers in the prior application and a copy is enclosed.
17	()	Cancel in this application original claims 1-20 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
18.	(X)	The filing fee is calculated below:
		Fee Calculation for Claims as Filed in the Prior Application, Less Any Claims Cancelled by Amendment
		(X) Basic Utility Fee \$ 710.00 \$ 710.00
		• (X) Independent Claims <u>1</u> - 3 = <u>x \$ 80.00 = \$ -0-</u>
		• (X) Total Claims <u>8</u> - 20 = <u>x \$ 18.00 = \$ -0-</u>
		• () Fee for Multiply Dependent Claims \$270.00 \$
		Total of above Calculations \$ 710.00
		Reduction by 50% for Filing by Small Entity \$
		Total \$_710.00



- 20. () Charge \$ to Deposit Account No. 06-1135.
- 21. () The payment of the Filing Fee is to be deferred until the
- Declaration is Filed. Do not charge our Deposit Account. The Commissioner is hereby authorized to charge any fees which may 22. (X)
- be required under 37 C.F.R. §§1.16 and 1.17 and are not paid herewith, or credit any overpayment, to Deposit Account Number 06-1135. A duplicate copy of this request is enclosed.
- A Request and Certification Under 35 U.S.C. §122(b)(2)(B)(i) is 23. (X) enclosed.
- Address all future communications to Customer Number 22242. 23. (X)



FITCH, EVEN, TABIN & FLANNERY Suite 1600 120 South LaSalle Street

Chicago, IL 60603-3406 (858) 552-1311 Telephone: (858) 552-0095 Facsimile:

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100 August 22, 2001

(Date)

Registration No. 36,048 (X) Attorney or agent of record

Richard E. Wawrzyniak

() Filed under §1.34(a)

PATENT APPLICATION Attorney Docket No. 71711 Date: August 22, 2001

Commissioner for Patents Washington, DC 20231

Applicants: Michael Heiberger et al.) CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Application No.: To Be Determined

Filed:

Herewith

Title: HOMOPOLAR MACHINE WITH SHAFT

AXIAL THRUST COMPENSATION FOR REDUCED THRUST BEARING

WEAR AND TEAR

Group Art Unit:

Examiner:

For the second W

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Date of Deposit August 22, 2001 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Box Patent Application, Commissioner of Patents, Washington, DC 20231.

Pat Finnerty

(Typed or printed name of person mailing)

(Signature of person mailing)

REQUEST AND CERTIFICATION UNDER 35 U.S.C. §122(b)(2)(B)(i)

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. request that the attached application not be published under 35 U.S.C. 122(b).

August 22, 2001

(Date)

chard E. Wawrzyniak Registration No. 36,048

FITCH, EVEN, TABIN & FLANNERY 120 South LaSalle St., Suite 1600 Chicago, IL 60603-3406

Telephone:

(858) 552-1311

Facsimile:

(858) 552-0095